



Application No. _____

To be filled by Branch / RM / Client

Whom to contact in case of any Discrepancy in AOF :	Payment Details :
Contact Person Name :	Cheque No.:
Contact No. :	Cheque Date :
Group Code :	Cheque Amount :
Scheme Code :	Bank Details :



GLOBE CAPITAL MARKET LIMITED

804, Ansal Bhawan, 16 K.G. Marg, Connaught Place, New Delhi-110001

Phones : 43666400 (30 Lines), 40412400 (30 Lines)

Fax : 91-11-23720880, 23712630

Website : www.globecapital.com

E-mail : globedp@globecapital.com

DP ID : IN300966

APPLICATION FORM FOR OPENING ACCOUNT

CORPORATES CLEARING MEMBER

Internal Ref. No. _____ Date _____ Account No. _____

Holder's Name Mr./Mrs. _____

Important Instructions :

1. Read the KYC form and overleaf instructions properly before filling the form.
2. Fill the KYC form in CAPITAL LETTERS (**including E-MAIL ID).
3. Use Black pen to fill KYC form.
4. Photograph affixed on KYC form should be signed across.
5. All supporting documents should be self attested.
6. All supporting documents should be on A4 size paper.
7. No cutting/ over-writing/fluid will be allowed on KYC form.
8. Form should be complete in all respect.

CHECKLIST :

1. Copy of Pan Card.
2. Board Resolution for opening and operating demat account (format enclosed in form).
3. Photograph, Identity proof and Add Proof of Authorized Signatories (other than directors if any).
4. Address Proof of Registered & Correspondence Address
5. Form 32 & Form 18 with ROC Receipt / Challan
6. Copy of cancelled cheque
7. Payment by cheque/cash
8. Copy of Membership Certificate from exchange (only for CM)
9. Copy of Allotment of CM-ID letter issued by exchange(only for CM)

Additional documents to be obtained under KRA are as mentioned below:

Types of entity	Documentary Requirments
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control-either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Separate KYC form is required for each joint holder.

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) :- List of documents admissible as Proof of Identity:

- PAN card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D)
- Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA) :- List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

- Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below :

Types of entity	Documentary Requirements
Corporate	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year). Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. Photograph, POI, POA, PAN of individual promoters holding control-either directly or indirectly. Copies of the Memorandum and Articles of Association and certificate of incorporation. Copy of the Board Resolution for investment in securities market. Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered partnership firms only). Copy of partnership deed. Authorised signatories list with specimen signatures. Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered trust only). Copy of Trust deed. List of trustees certified by managing trustees/CA. Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> PAN of HUF. Deed of declaration of HUF/ List of coparceners. Bank pass-book/bank statement in the name of HUF. Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> Proof of Existence/Constitution document. Resolution of the managing body & Power of Attorney granted to transact business on its behalf. Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> Copy of SEBI registration certificate. Authorized signatories list with specimen signatures.
Army/Government Bodies	<ul style="list-style-type: none"> Self-certification on letterhead. Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> Copy of Registration Certificate under Societies Registration Act. List of Managing Committee members. Committee resolution for persons authorised to act as authorised signatories with specimen signatures. True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.

- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
- Bank Account Statement/Passbook - Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account Power of Attorney given by FII/sub account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- SIP of Mutual Funds upto Rs 50, 000/- p.a.
- In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

Please Submit the KYC Documents on A4 Size Paper Only.

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____

PAN of the Applicant _____

Sr. No.	PAN	Name	DIN (For Directors) / UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

Name & Signature of the Authorised Signatory(ies)

Date [d][d] / [m][m] / [y][y][y][y]

PEP: Politically Exposed Person RPEP: Related to Politically Exposed Person

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PART II - ACCOUNT OPENING FORM (FOR NON-INDIVIDUALS)

(To be filled by the Depository Participant)

Application No.											Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.																			
DP ID	I	N	3	0	0	9	6	6	Client ID										

I/We request you to open a depository account in my/our name as per following details :

(Please fill all the details in CAPITAL/BLOCK LETTERS only)

A. TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Body Corporate	<input type="checkbox"/> FI	<input type="checkbox"/> FII	<input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> Mutual Fund
<input type="checkbox"/> Trust	<input type="checkbox"/> Bank	<input type="checkbox"/> CM	<input type="checkbox"/> Others (Specify) _____	

B. DETAILS OF ACCOUNT HOLDER(S)

Sole/First Holder's Name											PAN									
Second Holder's Name											PAN									
Third Holder's Name											PAN									

C. For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below :

Name _____	PAN										
------------	-----	--	--	--	--	--	--	--	--	--	--

D. In Case of Fills/Others (as may be applicable)

RBI Approval Reference Number											RBI Approval Date	D	D	M	M	Y	Y	Y	Y
SEBI Registration Number (For Fills)																			

E. BANK DETAILS

1. Bank Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other (Pl. Specify) _____																			
2. Bank Account Number																				
3. Bank Name																				
4. Branch Address																				
	City/Town/Village											Pin Code								
	State											Country								
5. MICR Code																				
6. IFSC																				

F. CLEARING MEMBER DETAILS (to be filled up by Clearing Members only)

1. Name of Stock Exchange																		
2. Name of Clearing Corporation/Clearing House																		
3. Clearing Member ID																		
4. SEBI Registration Number																		
5. Trade Name																		
6. CM-BP-ID (to be filled up by Participant)																		

G. STANDING INSTRUCTIONS

1.	We authorise you to receive credits automatically into our account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.	Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.	SMS Alert facility :		
	Sr. No.	Holder	
	1.	Sole / First Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No
	2.	Second Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No
	3.	Third Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No

DECLARATION

The rules and regulations of the Depository and Depository Participants pertaining to an account are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories)

Holder	Name	Signature
Sole/First Signatory		(2)
Second Signatory		
Third Signatory		

Other Holders

Second Signatory		
Third Signatory		

Mode of Operation for Sole/First Holder (in case of joint holdings, all the holders must sign)

<input type="checkbox"/> Any one singly	
<input type="checkbox"/> Jointly by	
<input type="checkbox"/> As per resolution	
<input type="checkbox"/> Others (Pl. Specify)	

Notes

- In case of additional signatures, separate annexures should be attached to the application form.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- Strike off whichever is not applicable.

Acknowledgement**GLOBE CAPITAL MARKET LIMITED**

804, Ansal Bhawan, 16 K.G. Marg, Connaught Place, New Delhi-110001
Phones : 43666400 (30 Lines), 30412400 (30 Lines)

DP ID : IN300966

Received the application from M/s. _____ as the sole/first holder along with _____ and _____ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you (CM-BP-ID in case of Clearing Members) in all your future correspondence.

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

Participant Stamp & Signature

AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE DEPOSITORY PARTICIPANT

This agreement made and entered into this _____ day of _____ between _____ situated at _____

(hereinafter called "the Client") and **GLOBE CAPITAL MARKET LIMITED** situated at 804, Ansal Bhawan, 16, K. G. Marg, Connaught Place, New Delhi-110001 (hereinafter called "the Depository Participant")

WITNESSE TO

WHEREAS the client has furnished to the Depository Participant the duly filled in application form requesting therein to open an account with the Depository Participant.

NOW THEREFORE in consideration to the Depository Participant having agreed to open an account for the client, both the parties to the agreement hereby covenant and agree as follows:

1. The Client shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Depository Participant and the client as set out in Schedule A. The Depository Participant shall reserve the right to revise the charges by giving not less than 30 days notice in writing to the client.
2. The Client shall have the right to get the securities which have been admitted on the Depository dematerialised in the form and manner laid down under the Bye Laws and Business Rules. The Depository Participant further undertakes that it shall not create or permit to subsist any mortgage, charge or other encumbrance over all or any of such securities submitted for dematerialisation except on the instructions of the Client.
3. The Depository Participant hereby undertakes that it shall maintain a separate account of its own securities held in dematerialised form with the Depository and shall not commingle the same with the securities held in dematerialised form on behalf of the Client.
4. The Depository Participant undertakes that a transfer to and from the account of the Client shall be made only on the basis of an order, instruction direction or mandate duly authorised by the Client and that the Depository Participant shall maintain adequate audit trail of such authorization.
5. The Depository Participant agrees that the Client may give standing instructions with regard to the crediting of securities in his account and the Depository Participant shall act according to such instructions.
6. The Depository Participant shall furnish a transaction statement including statement of accounts, if any, to the Client giving the details of the security-wise balances in the accounts of the Clients. Such a statement shall be furnished to the Clients at monthly intervals unless the Client and the Depository Participant have agreed for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the client attest once a quarter.
7. The Depository Participant shall have the right to terminate this agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the as well as to the Depository, Similarly, the Client shall have the right to terminate this agreement and close his account held with the Depository Participant, provided no charges are payable to him to the Depository Participant. In such an event, the Client shall specify, whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or to rematerialise the security balances held. Based on the instruction of the Client, the Depository Participant shall initiate the procedure for transferring such security balances or rematerialise such security balances within a period of thirty days, as per the procedure laid down in the Bye Laws and Business Rules. Provided further, termination of this Agreement shall not affect the rights, liabilities and obligations of either party and shall continue to bind the parties to their satisfactory completion.
8. On the failure of the client to pay the charges as laid out in clause (1) of this agreement within a period of thirty days from the date of demand Depository Participant shall terminate this agreement and close the account of the Client by requiring it to specify whether the balances in its account be transferred to the account of the Client held with another Participant or be rematerialised in the manner specified in the Bye Laws and Business Rules.
9. The Client further agrees that in the event of the Client committing a default in the payment of any of the amounts provided in clause (1) within a period of thirty days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the client, the Depository Participant may charge interest@not more than 24% p.a. or such other rate as may be specified by the Executive Committee from time to time for the period of such default. In case the Client has failed to make the payment of any of the amounts as provided in clause (1) of this agreement, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with interest, if any, after giving two days notice to the client.
10. The Depository Participant shall have a right to provide such information related to the client's accounts as may be requested by the NSDL from time to time.
11. The Client shall have the right to create a pledge of the securities held to the dematerialised form with the Depository Participant only in accordance with the procedure and subject to the restrictions laid down under the bye laws and business rules.
12. The Depository shall not be liable to the client in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of securities credited to the clients account.
13. The Client may exercise the right to freeze his account maintained with the Depository Participant so as to lock the securities held with the Depository Participant in accordance with the procedure prescribed in the bye laws and business rules.
14. The Client may exercise the right to defreeze his account maintained with the Depository Participant in accordance with the procedure and subject to the restrictions laid down under the bye laws and business rules.
15. The Client shall notify the Depository Participant, within seven days, of any change in the details set out in the application from submitted to the Depository Participant at the time of opening the account of furnished to the Depository Participant from time to time.
16. The Depository Participant undertakes to resolve all legitimate grievances of the Client against the Depository Participant with a period 30 days.
17. The Depository Participant and the Client shall abide by the arbitration and conciliation procedure prescribed under the Bye laws of NSDL and that such procedure shall be application to any disputes between the Depository Participant and the Client.
18. The Depository Participant and the Client further agree that all claims, differences and disputes, arising out of or in relation to dealings on the Depository including any transactions made subject to the bye Laws or Business rules of the Depository or with reference to anything incidental thereto or in plurisance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties there to and including any question of whether such dealings, transactions have been entered into or not shall not be subject to the exclusive jurisdiction of the courts at Mumbai only.

IN WITNESS WHEREOF the Client and Depository Participant has caused these presents to be executed as of the day and year first above written.

Signed and delivered by Client

	NAME/S OF SIGNATORY (IES)	SIGNATURE (S)
Sole / First Holder		(3)
Second Holder		
Third Holder		

For & on behalf of
GLOBE CAPITAL MARKET LIMITED

New Delhi

Witness: (For & on behalf of the
Globe Capital Market Limited)

Signature: _____

Name: _____

Address : 804, Ansal Bhawan, 16 K.G. Marg,
New Delhi- 110001

Witness : (For & on behalf of the client)

Signature: _____

Name: _____

Address: _____

GLOBE CAPITAL MARKET LIMITED DEPOSITORY DIVISION

A/c No. Details :-

S.No.	Name (s)	Depository Account No. (s)

ELECTRONIC CLEARING SERVICE (DEBIT CLEARING MANDATE FORM)

1. Name(s) :
2. Bank Name :
- A) Branch Name :
- B) 9-Digit MICR Code* :
- C) Account Type S.C. A/c (Code 10) Current A/c (Code 11) Cash Credit (Code 13)
- D) Ledger No./Folio No. _____ Account No. _____

***Applicant on the MICR Cheque issued by the Bank (Please attach the Photocopy of a Cheque or a Bank Cancelled Cheque issued by your Bank for verifying the accuracy of the Code Number)**
I, hereby declare that the particulars given above are correct and complete. If the transactions is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible. I hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date : _____  Signature of the Applicant



GLOBE CAPITAL MARKET LIMITED DEPOSITORY DIVISION

A/c No. Details :-

S.No.	Name (s)	Depository Account No. (s)

ELECTRONIC CLEARING SERVICE (DEBIT CLEARING MANDATE FORM)

1. Name(s) :
2. Bank Name :
- A) Branch Name :
- B) 9-Digit MICR Code* :
- C) Account Type S.C. A/c (Code 10) Current A/c (Code 11) Cash Credit (Code 13)
- D) Ledger No./Folio No. _____ Account No. _____

***Applicant on the MICR Cheque issued by the Bank (Please attach the Photocopy of a Cheque or a Bank Cancelled Cheque issued by your Bank for verifying the accuracy of the Code Number)**
I, hereby declare that the particulars given above are correct and complete. If the transactions is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible. I hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date : _____  Signature of the Applicant

BANK CERTIFICATION (Not required if photocopy of the cheque is enclosed).

Certified that the particulars furnished above are correct as per our records.

Bank's Stamp :

Date : _____
The Branch Manager

.....
Dear Sir,

I/We _____ residing at _____ maintain
a _____ Account No. _____ with your branch.

I/We hereby authorise the Bank to debit all types of commission /fees (Service Charges) payable by me / us and charged by **GLOBE CAPITAL MARKET LTD.** Through the ECS (Debit clearing) facility to my bank account with you. I/We undertake the sufficient balances shall be maintained by me/us, so that the right of the Bank to debit the Service Charges is not impaired. I/We hereby undertake not to revoke this authority without the written approval from the Bank.

SIGNED AT _____ (place), this _____
(day) of _____ (month) _____ Year _____

Signature 

Signature 

Signature 

BANK CERTIFICATION (Not required if photocopy of the cheque is enclosed).

Certified that the particulars furnished above are correct as per our records.

Bank's Stamp :

Date : _____
The Branch Manager


.....
Dear Sir,


I/We _____ residing at _____ maintain
a _____ Account No. _____ with your branch.

I/We hereby authorise the Bank to debit all types of commission /fees (Service Charges) payable by me / us and charged by **GLOBE CAPITAL MARKET LTD.** Through the ECS (Debit clearing) facility to my bank account with you. I/We undertake the sufficient balances shall be maintained by me/us, so that the right of the Bank to debit the Service Charges is not impaired. I/We hereby undertake not to revoke this authority without the written approval from the Bank.

SIGNED AT _____ (place), this _____
(day) of _____ (month) _____ Year _____

Signature 

Signature 

Signature 

ON THE LETTER HEAD OF THE COMPANY

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED BY THE BOARD OF DIRECTORS OF _____ AT THEIR MEETING HELD ON _____ AT THEIR REGD. OFFICE _____

1. Resolved that a Corporate Beneficiary/Clearing Member Account be opened for depository purpose with **Globe Capital Market Ltd.**, 804, Ansal Bhawan, 16 K.G. Marg, Connaught Place, New Delhi-110001
2. Further Resolved that Mr. _____ DIRECTORS of the company whose specimen signatures are attested below be jointly/severally authorised to sign on behalf of the company all documents and forms relating to such account (in relation to debit or credit or otherwise) in such forms as may be required by the **Globe Capital Market Ltd.** and further any instructions, indemnities and counter indemnities which may be required by **Globe Capital Market Ltd.**, from the company in connection with the above mentioned account.
3. That this resolution be communicated to the Globe **Capital Market Ltd.** and remain in force until notice in writing is given to the **Globe Capital Market Ltd.**

For

Director

Specimen Signature of

Mr. _____

Mr. _____

Attested By

Director

NOTE : This is required to be submitted on the Company's letterhead.

